



# INTUITION

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CONTINUING PROFESSIONAL EDUCATION



# SUPPORTING PROFESSIONAL CERTIFICATION MAINTENANCE

## CONTINUING PROFESSIONAL EDUCATION

Continuing Professional Education (CPE) is a key driver of learning for both organizations and individuals. Across financial services, many regulators and examination bodies mandate ongoing training to maintain a professional qualification.

Though each licensed professional is ultimately responsible for accurate reporting of the number of CPE credits earned, Intuition helps make this process more seamless for both organizations and their learners.

We assist our clients in curating learning content, mapping it to skill and role requirements and creating certification exams to show an ongoing mastery of knowledge.

### SUPPORT AVAILABLE:

- **Learner transcripts:** Most accreditation bodies accept the **online tracking records** provided by Know-How and your learning management system. Examples are CFA, IIA and CFP.
- **Certifications of completions for NASBA content:** A minority of accrediting bodies (NASBA for example), require Intuition to issue certificates or report on your behalf. This is available directly to learners through our NASBA offering.
- **Communication campaigns around CPD/CPE accreditation:** Included but, not limited to: messaging, email campaigns, webinars, site branding, & support materials

In this brochure, you'll find listings for the following designations:

- ✓ [Certified Treasury Professional \(CTP\)](#)
- ✓ [CFA](#)
- ✓ [CFP](#)
- ✓ [Chartered Alternative Investment Analyst \(CAIA\)](#)
- ✓ [CISI](#)
- ✓ [GARP](#)
- ✓ [Institute of Internal Auditors \(IIA\)](#)
- ✓ [IIROC](#)
- ✓ [IWI \(formerly IMCA\)](#)
- ✓ [NASBA](#)
- ✓ [PRMIA](#)
- ✓ [Project Management Institute \(PMI\)](#)
- ✓ [Securities and Futures Commission HKSI / SFC](#)

### CPE & YOUR ORGANIZATION

- ✓ Meet knowledge & competency requirements
- ✓ Demonstrate ongoing education
- ✓ Ensure an educated and agile workforce
- ✓ Adhere to workforce compliance & regulation
- ✓ Reduce Turnover

### CPE & YOUR PEOPLE

- ✓ Obtain knowledge needed for their role
- ✓ Maintain professional qualification
- ✓ Progress career development

# NASBA

**Note: Eligible content contains (NASBA) in the title**

AI Ethics - An Introduction (NASBA)  
 AI Ethics - Bias & Discrimination (NASBA)  
 AI Ethics - Key Issues (NASBA)  
 AI Ethics - Key Principles (NASBA)  
 ALM & Treasury Management - An Introduction (NASBA)  
 Asset Allocation - An Introduction (NASBA)  
 Asset Classes - Types (NASBA)  
 Bank Balance Sheets (NASBA)  
 Biodiversity & Financial Institutions (NASBA)  
 Bond Markets - An Introduction (NASBA)  
 Bond Markets - Issuing (NASBA)  
 Bonds & Guarantees (NASBA)  
 Business of Asset Management (NASBA)  
 Business of Corporate Banking (NASBA)  
 Climate Risk - An Introduction (NASBA)  
 Commodities - An Introduction (NASBA)  
 Commodities - Derivatives Trading (NASBA)  
 Commodities - Physical Trading (NASBA)  
 Consumer Banking - Customer Experience (NASBA)  
 Consumer Banking - Omnichannel Delivery (NASBA)  
 Consumer Banking - Risk Management (NASBA)  
 Consumer Banking Products - Credit (NASBA)  
 Consumer Banking Products - Noncredit (NASBA)  
 Corporate Banking Products - An Introduction (NASBA)  
 Corporate Finance - An Introduction (NASBA)  
 Corporate Finance - Capital Structure & Liquidity (NASBA)  
 Corporate Finance - Cost Of Capital (NASBA)  
 Corporate Valuation - An Introduction (NASBA)  
 Corporate Valuation - DCF Analysis (NASBA)  
 Corporate Valuation - LBO Analysis (NASBA)  
 Corporate Valuation - Trading Comparables (NASBA)  
 Corporate Valuation - Transaction Comparables (NASBA)  
 Credit Analysis - An Introduction (NASBA)  
 Credit Cards (NASBA)  
 Credit Derivatives - An Introduction (NASBA)  
 Credit Derivatives - Single-Name CDS (NASBA)  
 Credit Risk - An Introduction (NASBA)  
 Credit Risk - Types & Asset Classes (NASBA)  
 Data Security (NASBA)  
 Day Count Conventions (NASBA)  
 Derivatives - An Introduction (NASBA)  
 Derivatives - Markets (NASBA)  
 Digital Money & Mobile Payments (NASBA)  
 Equity Derivatives - An Introduction (NASBA)  
 Equity Markets - An Introduction (NASBA)  
 Equity Markets - Issuing (NASBA)  
 Equity Swaps (NASBA)  
 ESG - An Introduction (NASBA)  
 ESG Factors (NASBA)  
 ETFs - An Introduction (NASBA)  
 ETFs - Types (NASBA)  
 Export & Import Finance (NASBA)  
 Financial Markets - An Introduction (NASBA)  
 FinTech - An Introduction (NASBA)  
 Foreign Exchange (FX) Market - An Introduction (NASBA)  
 Forwards & Futures - An Introduction (NASBA)  
 FX Forward Market - An Introduction (NASBA)  
 FX Options (NASBA)  
 FX Spot Market - An Introduction (NASBA)  
 FX Spot Market - Trading (NASBA)  
 Green Bonds - An Introduction (NASBA)  
 Green Bonds in Practice (NASBA)  
 Hedge Funds - An Introduction (NASBA)  
 Hedge Funds - Investing (NASBA)  
 Hedge Funds - Strategies (NASBA)  
 Impact Investing (NASBA)  
 Information Technology (IT) in Business (NASBA)  
 Interbank Money Market (NASBA)  
 Interest Calculations (NASBA)  
 Investment - An Introduction (NASBA)  
 Letters of Credit - An Introduction (NASBA)  
 Life of a Trade - An Introduction (NASBA)  
 Life Of A Trade - Execution (NASBA)  
 Mergers & Acquisitions (M&A) - An Introduction (NASBA)  
 Money Markets - An Introduction (NASBA)  
 NPV & IRR (NASBA)  
 Operational Risk - An Introduction (NASBA)  
 Operational Risk - Measurement & Reporting (NASBA)  
 Operational Risk Management - Tools & Techniques (NASBA)  
 Options - An Introduction (NASBA)  
 Payments - An Introduction (NASBA)  
 Payments Cards (NASBA)  
 Present Value & Future Value (NASBA)  
 Private Equity - An Introduction (NASBA)  
 Private Equity - Investing (NASBA)  
 Quantitative Trading - An Introduction (NASBA)  
 Quantitative Trading - Portfolio Construction (NASBA)  
 Real Estate - An Introduction (NASBA)  
 Real Estate - Valuation (NASBA)  
 Risk Management - An Introduction (NASBA)  
 Risk Management - Risk Types & Measurement (NASBA)  
 Risk Modeling (NASBA)  
 Strategic Asset Allocation (SAA)(NASBA)  
 Sustainability Reporting (NASBA)  
 Sustainable & Responsible Investing - An Introduction (NASBA)  
 Sustainable & Responsible Investing - Strategies (NASBA)  
 Sustainable Finance - An Introduction (NASBA)  
 Swaps - An Introduction (NASBA)  
 Tactical Asset Allocation (TAA) (NASBA)  
 Trade Finance - An Introduction (NASBA)

# CFA

AIFM Directive  
 ALM - Strategic ALM  
 ALM - Tools & Techniques  
 ALM & Treasury Management - An Introduction  
 ALM & Treasury Operations  
 Alternative Assets - An Introduction  
 Asset Allocation - Scenario  
 Asset Management - Credit Risk & Counterparty Credit Risk (CCR)  
 Asset Management - Liquidity Risk  
 Asset Management - Market Risk  
 Asset Management - Operational Risk  
 Asset Management - Other Risks  
 Bank Balance Sheets  
 Business of Pensions & Retirement  
 Climate Risk - An Introduction  
 Climate Risk - Banking & Decarbonization  
 Climate Risk - Stress Testing  
 Climate Risk Measurement - An Introduction  
 Climate Risk Measurement - Approaches  
 Commodities - Coal  
 Commodities - Crude Oil  
 Commodities - Dairy  
 Commodities - Electricity  
 Commodities - Emissions  
 Commodities - Ferrous Metals  
 Commodities - Grains  
 Commodities - Livestock  
 Commodities - LNG  
 Commodities - Natural Gas  
 Commodities - Nonferrous Metals  
 Commodities - Oil Products  
 Commodities - Oilseeds  
 Commodities - Petrochemicals  
 Commodities - Precious Metals  
 Commodities - Renewables  
 Commodities - Softs  
 Contingent Convertibles (CoCos)  
 Convertibles - An Introduction  
 Convertibles - Valuation  
 Convexity Analysis  
 Corporate Actions - An Introduction  
 Corporate Actions - Types  
 Corporate Finance - An Introduction  
 Corporate Finance - Capital Structure & Liquidity  
 Corporate Finance - Cost of Capital  
 Corporate Finance - Measuring Business Performance  
 Corporate Finance - Payout Policy  
 Corporate Finance - Project Appraisal & Capital Budgeting  
 Corporate Finance - Raising Capital  
 Corporate Finance - Scenario  
 Corporate Sustainability Reporting Directive (CSRD)  
 Correlation Analysis  
 Covered Bonds  
 Credit Risk Appetite - An Introduction  
 Credit Risk Appetite - Customer & Industry Risk  
 Credit Risk Appetite - Product & Country Risk  
 Credit Risk Management - An Introduction  
 Credit Risk Management - Credit Culture  
 Credit Risk Management - Data & Reporting  
 Credit Risk Management - Framework  
 Credit Risk Management - Risk/Reward  
 Credit Risk Management - Stakeholders  
 Credit Risk Management - Strategic & Business Unit Management  
 Credit Risk Measurement - An Introduction  
 Credit Risk Measurement - Capital Calculations  
 Credit Risk Measurement - EAD & LGD  
 Credit Risk Measurement - Models  
 Credit Risk Measurement - PD & Risk Rating  
 Credit Risk Mitigation - An Introduction  
 Credit Risk Mitigation - Collateralization  
 Credit Risk Mitigation - Management & Realization  
 Credit Risk Mitigation - Other Types of Mitigant  
 Custody - An Introduction  
 Derivatives - Scenario  
 Distributions  
 Dodd-Frank Act  
 Duration Analysis  
 Equity Derivatives - An Introduction  
 Equity Returns Analysis  
 Equity Trading - An Introduction  
 ESG - An Introduction  
 ESG & SRI - Scenario (Institutional)  
 ESG & SRI - Scenario (Retail)  
 ESG Data & Ratings - An Introduction  
 ESG Data & Ratings - Reporting Frameworks  
 ESG Factors  
 ETFs - An Introduction  
 European Bond Market  
 European Equity Market  
 Exotic Options - An Introduction  
 Exotic Options - Applications  
 Exotic Options - Pricing  
 FATCA  
 Financial Authorities (Europe) - ECB  
 Financial Authorities (Europe) - ESFS  
 Fixed Income Analysis - Credit Risk  
 Fixed Income Derivatives  
 Fixed Income Strategies - An Introduction  
 Fixed Income Strategies - Running a Book  
 Forwards & Futures - An Introduction  
 Forwards & Futures - Hedging  
 Forwards & Futures - Markets  
 Forwards & Futures - Pricing  
 Forwards & Futures - Trading  
 FRNs - An Introduction  
 FRNs - Structures  
 Fund Types & Structures  
 Fund Valuation  
 Green Bonds - An Introduction  
 Green Bonds in Practice  
 Hedge Funds - An Introduction  
 Hedge Funds - Investing

# CFA (continued)

Hedge Funds - Investing  
 Hedge Funds - Strategies  
 High Yield Debt  
 Impact Investing  
 Inflation-Linked Securities  
 Interest Rate Options - An Introduction  
 Interest Rate Options - Applications  
 Interest Rate Options - Market Considerations  
 Interest Rate Options - Pricing  
 Interest Rate Options - Types  
 Investment Policy Statements  
 Life of a Trade - An Introduction  
 Life of a Trade - Clearing & Settlement  
 Life of a Trade - Execution  
 Mergers & Acquisitions (M&A) - An Introduction  
 Mergers & Acquisitions (M&A) - Analysis  
 Money Market Securities - An Introduction  
 Money Markets - An Introduction  
 Mutual Funds (US) - An Introduction  
 Mutual Funds (US) - Investing  
 Mutual Funds (US) - Types  
 Operational Resilience  
 Operational Risk - An Introduction  
 Operational Risk - Measurement & Reporting  
 Operational Risk Management - Developments & Emerging Risks  
 Operational Risk Management - Tools & Techniques  
 Option Greeks - Measuring Delta & Gamma  
 Option Greeks - Second-Order Sensitivities  
 Option Greeks - Using Delta & Gamma  
 Option Greeks - Vega, Theta, & Rho  
 Option Trading - Combination Strategies  
 Option Trading - Managing an Options Portfolio  
 Option Trading - Spread Strategies  
 Option-Linked Securities  
 Options - An Introduction  
 Prime Brokerage - An Introduction  
 Prime Brokerage - Services  
 Private Equity - An Introduction  
 Private Equity - Investing  
 Probability  
 Quantitative Trading - Algorithmic Trading  
 Quantitative Trading - An Introduction  
 Quantitative Trading - Arbitrage & HFT  
 Quantitative Trading - Buy-Side  
 Quantitative Trading - Case Studies  
 Quantitative Trading - Data & Machine Learning  
 Quantitative Trading - Portfolio Construction  
 Quantitative Trading - Risk Management  
 Quantitative Trading - Sell-Side  
 Real Estate - An Introduction  
 Real Estate - Investing  
 Real Estate - Valuation  
 REITs  
 Relative Value Trading  
 Risk Management for Asset Managers - An Introduction  
 Securities Lending - An Introduction  
 Securities Lending - Collateral & Risk Management  
 Securitization - An Introduction  
 Securitization - CDOs  
 Securitization - Credit Risks and Ratings  
 Securitization - MBS Types & Risks  
 Securitization - Prepayment Risks  
 Securitization - Pricing & Analysis  
 Securitization - US MBS  
 Securitization - US Non-MBS  
 Shareholder Servicing  
 Social Bonds & Sustainable Instruments  
 Strategic Asset Allocation (SAA)  
 Structured Products - An Introduction  
 Structured Products - Components & Types  
 Structured Products - Examples & Cases  
 Structured Products - Risks & Sensitivities  
 Structured Products - Valuation & Analysis  
 Sustainability Reporting  
 Sustainable & Responsible Investing - Strategies  
 Sustainable & Responsible Investing - An Introduction  
 Sustainable Development Goals (SDGs) - An Introduction  
 Sustainable Development Goals (SDGs) - Impact on the Financial Sector  
 Sustainable Finance - An Introduction  
 Sustainable Finance - Principles & Frameworks  
 Sustainable Finance Disclosure Regulation (SFDR)  
 Swaps - Applications  
 Swaps - Asset Swaps  
 Swaps - Basis Swaps  
 Swaps - Bootstrapping Zero Curves  
 Swaps - Cash Flows & Structures  
 Swaps - Clearing  
 Swaps - CSAs, Currencies, & Collateral  
 Swaps - Currency Swaps  
 Swaps - Documentation  
 Swaps - Exotic Structures  
 Swaps - Indices & Curves  
 Swaps - Key Valuation Concepts  
 Swaps - Market Developments  
 Swaps - Overnight Index Swaps (OIS)  
 Swaps - Pricing  
 Swaps - Sensitivities & Risk Management  
 Swaps Applications for Corporates - Scenario  
 Swaps Applications for Institutional Investors - Scenario  
 Tactical Asset Allocation (TAA)  
 Taxonomy Regulation (Europe)  
 Treasury Cash Management - Scenario  
 UCITS  
 UK Bond Market  
 UK Equity Market  
 US Bond Market  
 US Equity Market  
 Volatility Estimation  
 Zero-Coupon Securities

# CFP

**Note: You must complete all titles in a course to obtain credit. Please submit your completed details to us at: <https://www.intuition.com/claim-cpe-credits/>**

| CFP Accredited Course | Tutorial Components                      | Actual credits | CFP reporting reference |
|-----------------------|--|----------------|-------------------------|
| Advanced FM 101       | Credit Derivatives - An Introduction     | 1.5            | 301964                  |
|                       | Hedge Funds - An Introduction            |                |                         |
|                       | Hedge Funds - Strategies                 |                |                         |
| Advanced FM 102       | Private Equity - An Introduction         | 1.5            | 301965                  |
|                       | Real Estate - An Introduction            |                |                         |
|                       | Real Estate - Investing                  |                |                         |
| Advanced FM 103       | Structured Products - An Introduction    | 1.5            | 301966                  |
|                       | Structured Products - Components & Types |                |                         |
|                       | Swaps - Applications                     |                |                         |

# GARP

AIFM Directive  
 Anti-Money Laundering (AML) (2024)  
 Bank Funding & Position Management  
 Basel III - An Introduction  
 Basel III - Liquidity & Leverage  
 Basel III - Measurement Approaches  
 Basel III - Pillar 1 & Capital Adequacy  
 Basel III - Pillar 2 & ICAAP  
 Bonds & Guarantees  
 Budgeting  
 Chinese Bond Market  
 Climate Risk - An Introduction  
 Climate Risk - Banking & Decarbonization  
 Climate Risk - Stress Testing  
 Climate Risk Measurement - Approaches  
 Commodities - Crude Oil  
 Commodities - Dairy  
 Commodities - Derivatives Trading  
 Commodities - Ferrous Metals  
 Commodities - Grains  
 Commodities - Livestock  
 Commodities - Nonferrous Metals  
 Commodities - Oil Products  
 Commodities - Oilseeds  
 Commodities - Precious Metals  
 Commodities - Pulp & Packaging  
 Commodities - Softs  
 Conflicts of Interest (2024)  
 Convexity Analysis  
 Corporate Banking - Portfolio Management in Practice  
 Corporate Banking Products - Accounts Receivable Finance  
 Corporate Banking Products - Advisory & Other Services  
 Corporate Banking Products - Asset-Based Finance  
 Corporate Banking Products - Cash Management  
 Corporate Banking Products - Payments  
 Corporate Banking Products - Project Finance  
 Corporate Banking Products - Risk Management  
 Corporate Banking Products - Short-Term Finance  
 Corporate Banking Products - Syndicated Lending  
 Corporate Banking Products - Term Finance  
 Corporate Banking Products - Trade Finance  
 Corporate Finance - Capital Structure & Liquidity  
 Corporate Finance - Cost of Capital  
 Corporate Finance - Project Appraisal & Capital Budgeting  
 Corporate Finance - Raising Capital  
 Corporate Sustainability Reporting Directive (CSRD)  
 Corporate Valuation - DCF Analysis  
 Corporate Valuation - LBO Analysis  
 Corporate Valuation - Merger Analysis  
 Credit Derivatives - An Introduction  
 Credit Derivatives - CDS Indices  
 Credit Derivatives - CDS Pricing & Valuation  
 Credit Management  
 Credit Risk - Lessons From Mismanaging Risk  
 Credit Risk - Lifecycle  
 Credit Risk - Types & Asset Classes  
 Credit Risk Appetite - Customer & Industry Risk  
 Credit Risk Appetite - Product & Country Risk  
 Credit Risk Customer Management - Covenants & Credit Decisions  
 Credit Risk Customer Management - Credit Facilities  
 Credit Risk Customer Management - Structuring Credit Facilities  
 Credit Risk Management - Credit Culture  
 Credit Risk Management - Data & Reporting  
 Credit Risk Management - Framework  
 Credit Risk Management - Risk/Reward  
 Credit Risk Management - Stakeholders  
 Credit Risk Management - Strategic & Business Unit Management  
 Credit Risk Measurement - Capital Calculations  
 Credit Risk Measurement - EAD & LGD  
 Credit Risk Measurement - Models  
 Credit Risk Measurement - PD & Risk Rating  
 Cybercrime (2024)  
 Dark Trading  
 Data Protection (2024)  
 Distributions  
 Documentary Collections  
 Duration Analysis  
 Equity Index Futures & Options  
 Equity Trading - An Introduction  
 Equity Trading - Technical vs. Fundamental Trading  
 Equity Trading Strategies  
 ESG - An Introduction  
 ESG Factors  
 Ethics, Culture, & Conduct (2024)  
 European Bond Market  
 Exotic Options - An Introduction  
 Export & Import Finance  
 Export Credit Agencies (ECAs)  
 Financial Planning & Modeling  
 Fixed Income Analysis - Credit Risk  
 Fixed Income Derivatives  
 Fraud (2024)  
 FX Forward Market - Calculating Swap/Forward Points & Cross Rates  
 FX Forward Market - FX Swap Trading  
 FX Forward Market - Short-Dated Outrights & FX Swaps  
 FX Forward Market - Uses of Outrights & FX Swaps  
 GDPR (2024)  
 Hedge Funds - Investing  
 Hedge Funds - Strategies  
 High Yield Debt  
 Hong Kong Bond Market  
 Impact Investing  
 Islamic Banking & Finance - Financial Instruments  
 Islamic Banking & Finance - Wealth Management  
 Islamic Money & Capital Markets  
 Japanese Bond Market  
 Letters of Credit - An Introduction  
 Letters of Credit in Practice  
 Life of a Trade - An Introduction  
 Life of a Trade - Clearing & Settlement  
 Life of a Trade - Execution  
 Life of a Trade - Post-Trade Position Management  
 Life of a Trade - Pre-Trade  
 Loan Trading  
 Modern Slavery (2024)  
 Municipal Bonds (US) - An Investing  
 Operational Resilience  
 Operational Risk - An Introduction  
 Operational Risk - Measurement & Reporting  
 Operational Risk Management - Developments & Emerging Risks  
 Operational Risk Management - Tools & Techniques  
 Option Greeks - Measuring Delta & Gamma  
 Option Valuation - American Options  
 Option Valuation - Black-Scholes-Merton  
 Option Valuation - Sensitivities & Outcomes  
 Option-Linked Securities  
 PRIIPs  
 Probability  
 Problem Credit Management - Accounting for Problem Credits  
 Problem Credit Management - Early Stage Problem Credits  
 Problem Credit Management - Late Stage Problem Credits  
 Quantitative Trading - Algorithmic Trading  
 Quantitative Trading - Buy-Side  
 Quantitative Trading - Data & Machine Learning  
 Quantitative Trading - Portfolio Construction  
 Quantitative Trading - Risk Management  
 Quantitative Trading - Sell-Side  
 Repos  
 Risk Management - Reporting  
 Sanctions (2024)  
 Securities Lending - An Introduction  
 Securitization - CDOs  
 Securitization - Credit Risks and Ratings  
 Securitization - Prepayment Risks  
 Securitization - US Non-MBS  
 Singapore Bond Market  
 Solvency II  
 Stress Testing - An Introduction  
 Stress Testing in Practice  
 Structured Products - Examples & Cases  
 Structured Products - Risks & Sensitivities  
 Structured Trade & Commodity Finance  
 Sukuk Market  
 Sustainability Reporting  
 Sustainable & Responsible Investing - Strategies  
 Sustainable & Responsible Investing - An Introduction  
 Swaps - Cash Flows & Structures  
 Swaps - Indices & Curves  
 Swaps - Pricing  
 Technical Analysis - An Introduction  
 Technical Analysis - Mean-Reverting Strategies  
 Technical Analysis - Other Strategies  
 Technical Analysis - Trend-Following Strategies  
 Trade Finance - An Introduction  
 Trade Finance Documentation & Incoterms  
 UK Bond Market  
 Uniform Customs & UCP 600  
 US Bond Market  
 Working Capital Management  
 Yield Curves - Construction

# PRMIA

- AIFM Directive
- Anti-Bribery & Corruption (2024)
- Anti-Money Laundering (AML) (2024)
- Asset Allocation - Scenario
- Bank Funding & Position Management
- Basel III - An Introduction
- Basel III - Liquidity & Leverage
- Basel III - Measurement Approaches
- Basel III - Pillar 1 & Capital Adequacy
- Basel III - Pillar 2 & ICAAP
- Basel III - Pillar 3 & Risk Reporting
- Business of Insurance
- Climate Risk - An Introduction
- Climate Risk - Banking & Decarbonization
- Climate Risk - Stress Testing
- Climate Risk Measurement - An Introduction
- Climate Risk Measurement - Approaches
- Commodities - Coal
- Commodities - Crude Oil
- Commodities - Dairy
- Commodities - Electricity
- Commodities - Emissions
- Commodities - Ferrous Metals
- Commodities - Grains
- Commodities - Livestock
- Commodities - LNG
- Commodities - Natural Gas
- Commodities - Nonferrous Metals
- Commodities - Oil Products
- Commodities - Oilseeds
- Commodities - Petrochemicals
- Commodities - Precious Metals
- Commodities - Renewables
- Commodities - Risk Management & Hedging
- Commodities - Softs
- Conflicts of Interest (2024)
- Contingent Convertibles (CoCos)
- Convexity Analysis
- Correlation Analysis
- Covered Bonds
- Credit Risk - Lessons From Mismanaging Risk
- Credit Risk - Lifecycle
- Credit Risk - Types & Asset Classes
- Cybercrime (2024)
- Data Protection (2024)
- Distributions
- Duration Analysis
- Equity Trading - An Introduction
- ESG - An Introduction
- ESG & SRI - Scenario (Institutional)
- ESG & SRI - Scenario (Retail)
- ESG Data & Ratings - An Introduction
- ESG Data & Ratings - Reporting Frameworks
- ESG Factors
- Ethics, Culture, & Conduct (2024)
- Exotic Options - An Introduction
- Exotic Options - Applications
- Exotic Options - Pricing
- Fixed Income Analysis - An Introduction
- Fixed Income Analysis - Credit Risk
- Fixed Income Derivatives
- Fixed Income Strategies - An Introduction
- Fixed Income Strategies - Running a Book
- Forwards & Futures - Hedging
- Forwards & Futures - Markets
- Forwards & Futures - Pricing
- Forwards & Futures - Trading
- Fraud (2024)
- FRNs - An Introduction
- FRNs - Structures
- GDPR (2024)
- Green Bonds - An Introduction
- Green Bonds in Practice
- Hedge Funds - Investing
- Hedge Funds - Strategies
- High Yield Debt
- Impact Investing
- Inflation-Linked Securities
- Interest Rate Options - An Introduction
- Interest Rate Options - Applications
- Interest Rate Options - Market Considerations
- Interest Rate Options - Pricing
- Interest Rate Options - Types
- Investment Policy Statements
- Modern Slavery (2024)
- Operational Resilience
- Operational Risk - An Introduction
- Operational Risk - Measurement & Reporting
- Operational Risk Management - Developments & Emerging Risks
- Operational Risk Management - Tools & Techniques
- Option Greeks - Measuring Delta & Gamma
- Option Greeks - Second-Order Sensitivities
- Option Greeks - Using Delta & Gamma
- Option Greeks - Vega, Theta, & Rho
- Option Trading - Combination Strategies
- Option Trading - Managing an Options Portfolio
- Option Trading - Spread Strategies
- Option Valuation - American Options
- Option Valuation - An Introduction
- Option Valuation - Binomial Techniques
- Option Valuation - Black-Scholes-Merton
- Option Valuation - Future Asset Prices & Volatility
- Option Valuation - Key Concepts
- Option Valuation - Monte Carlo Methods
- Option Valuation - Sensitivities & Outcomes
- Option-Linked Securities
- Portfolio Management - Passive vs. Active Approaches
- Portfolio Performance - Measures
- Probability
- Quantitative Trading - Algorithmic Trading
- Quantitative Trading - An Introduction
- Quantitative Trading - Arbitrage & HFT
- Quantitative Trading - Buy-Side
- Quantitative Trading - Case Studies
- Quantitative Trading - Data & Machine Learning
- Quantitative Trading - Portfolio Construction
- Quantitative Trading - Risk Management
- Quantitative Trading - Sell-Side
- Real Estate - Investing
- Real Estate - Valuation
- REITs
- Relative Value Trading
- Sanctions (2024)
- Securitization - CDOs
- Securitization - Credit Risks and Ratings
- Securitization - MBS Types & Risks
- Securitization - Prepayment Risks
- Securitization - US MBS
- Securitization - US Non-MBS
- Social Bonds & Sustainable Instruments
- Structured Products - Components & Types
- Structured Products - Examples & Cases
- Structured Products - Risks & Sensitivities
- Structured Products - Valuation & Analysis
- Sustainability Reporting
- Sustainable & Responsible Investing - Strategies
- Sustainable & Responsible Investing - An Introduction
- Sustainable Finance - An Introduction
- Sustainable Finance - Principles & Frameworks
- Sustainable Finance Disclosure Regulation (SFDR)
- Swaps - Asset Swaps
- Swaps - Basis Swaps
- Swaps - Book Management
- Swaps - Bootstrapping Zero Curves
- Swaps - Cash Flows & Structures
- Swaps - CSAs, Currencies, & Collateral
- Swaps - Currency Swaps
- Swaps - Exotic Structures
- Swaps - Indices & Curves
- Swaps - Key Valuation Concepts
- Swaps - Overnight Index Swaps (OIS)
- Swaps - Pricing
- Swaps - Sensitivities & Risk Management
- Tactical Asset Allocation (TAA)
- Volatility Estimation
- Yield Curves - An Introduction
- Yield Curves - Construction
- Zero-Coupon Securities



# IIROC

ALM - Strategic ALM  
 ALM - Tools & Techniques  
 ALM & Treasury Management - An Introduction  
 ALM & Treasury Operations  
 Alternative Assets - An Introduction  
 Asset Management - Liquidity Risk  
 Asset Management - Operational Risk  
 Asset Management - Other Risks  
 Bank Balance Sheets  
 Bank Funding & Position Management  
 Bond Futures  
 Bonds & Guarantees  
 Budgeting  
 Cash Management  
 Commodities - Coal  
 Commodities - Crude Oil  
 Commodities - Electricity  
 Commodities - Emissions  
 Commodities - Ferrous Metals  
 Commodities - Livestock  
 Commodities - Natural Gas  
 Commodities - Nonferrous Metals  
 Commodities - Precious Metals  
 Commodities - Softs  
 Convexity Analysis  
 Corporate Banking Products - Advisory & Other Services  
 Corporate Banking Products - Project Finance  
 Corporate Banking Products - Risk Management  
 Corporate Banking Products - Syndicated Lending  
 Corporate Banking Products - Trade Finance  
 Corporate Valuation - DCF Analysis  
 Corporate Valuation - LBO Analysis  
 Corporate Valuation - Merger Analysis  
 Counterparty Credit Risk (CCR) - An Introduction  
 Counterparty Credit Risk (CCR) - Management  
 Counterparty Credit Risk (CCR) - Measurement  
 Covered Bonds  
 Credit Derivatives - An Introduction  
 Credit Derivatives - CDS Documentation & Settlement  
 Credit Derivatives - CDS Indices  
 Credit Derivatives - CDS Pricing & Valuation  
 Credit Derivatives - Single-Name CDS  
 Credit Derivatives - Variations  
 Credit Management  
 Credit Risk - Lessons From Mismanaging Risk  
 Credit Risk - Lifecycle  
 Credit Risk - Types & Asset Classes  
 Credit Risk Appetite - An Introduction  
 Credit Risk Appetite - Customer & Industry Risk  
 Credit Risk Appetite - Product & Country Risk  
 Credit Risk Management - Credit Culture  
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# CTP

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 Volcker Rule  
 Yield Curves - An Introduction

# IWI (formerly IMCA)

Provider ID: 222312

*Note: You must complete all titles in a course to obtain credit.*

| Course Name                     | Course ID | CPE Hours | Titles  |
|---------------------------------|-----------|-----------|---|
| Credit Derivatives Introduction | 21INTU002 | 1.5       | Credit Derivatives - An Introduction<br>Hedge Funds - Strategies  |
| Alternatives                    | 13INTU054 | 1.5       | Private Equity - An Introduction<br>Real Estate - An Introduction |
| Swaps Applications              | 21INTU001 | 1.5       | Structured Products - An Introduction<br>Swaps - Applications     |



# CISI

*Note: You must complete all titles in a course to obtain credit.*

| Titles   | Credits   |
|--|-----------|
| <b>ESG &amp; SRI</b>                           |           |
| ESG & SRI - An Introduction                    |           |
| ESG & SRI – Investing                          |           |
| ESG Factors                                    |           |
| SRI Strategies                                 | 5.5 hours |
| ESG & SRI – Reporting                          |           |
| Green Assets                                   |           |
| <b>Quant</b>                                   |           |
| Quantitative Trading - An Introduction         |           |
| Quantitative Trading - Sell-Side               |           |
| Quantitative Trading - Buy-Side                |           |
| Quantitative Trading - Algorithmic Trading     |           |
| Quantitative Trading - Arbitrage & HFT         | 8 hours   |
| Quantitative Trading - Data & Machine Learning |           |
| Quantitative Trading - Risk Management         |           |
| Quantitative Trading - Portfolio Construction  |           |

# PMI

Accounting - An Introduction  
 Accounting Mechanics - Scenario  
 AIFM Directive  
 ALM - Strategic ALM  
 ALM - Tools & Techniques  
 ALM & Treasury Management - An Introduction  
 ALM & Treasury Operations  
 Anti-Bribery & Corruption (2024)  
 Anti-Money Laundering (AML) (2024)  
 Asset Management - Operational Risk  
 Asset Management - Other Risks  
 Balance Sheet - An Introduction  
 Balance Sheet - Analysis  
 Bank Balance Sheets  
 Basel III - An Introduction  
 Basel III - Liquidity & Leverage  
 Basel III - Measurement Approaches  
 Basel III - Pillar 1 & Capital Adequacy  
 Basel III - Pillar 2 & ICAAP  
 Basel III - Pillar 3 & Risk Reporting  
 Bond Markets - An Introduction  
 Bond Markets - Issuing  
 Bond Markets - Trading  
 Budgeting  
 Business of Asset Management  
 Business of Consumer (Retail) Banking  
 Business of Corporate Banking  
 Business of Insurance  
 Business of Investment Banking  
 Business of Pensions & Retirement  
 Business of Private Wealth Management  
 Cash Management  
 Climate Risk - An Introduction  
 Conflicts of Interest (2024)  
 Contracts for Difference (CFDs)  
 Corporate Actions - An Introduction  
 Corporate Actions - Types  
 Corporate Finance - An Introduction  
 Corporate Finance - Capital Structure & Liquidity  
 Corporate Finance - Cost of Capital  
 Corporate Finance - Measuring Business Performance  
 Corporate Finance - Project Appraisal & Capital Budgeting  
 Corporate Finance - Raising Capital  
 Corporate Governance  
 Corporate Social Responsibility (CSR)  
 Credit Management  
 CSDR  
 Custody - An Introduction  
 Cybercrime (2024)  
 Data Protection (2024)  
 Digital Banking  
 Equity Markets - An Introduction  
 Equity Markets - Issuing  
 Ethics, Culture, & Conduct (2024)  
 Financial Authorities (Australia)  
 Financial Authorities (China)  
 Financial Authorities (Europe) - ECB  
 Financial Authorities (Europe) - ESFS  
 Financial Authorities (Hong Kong)  
 Financial Authorities (Japan)  
 Financial Authorities (Singapore)  
 Financial Authorities (UK) - Bank of England  
 Financial Authorities (UK) - PRA and FCA  
 Financial Authorities (US) - CFTC  
 Financial Authorities (US) - Federal Reserve  
 Financial Authorities (US) - SEC  
 Financial Management - Scenario  
 Financial Markets - An Introduction  
 Financial Planning & Modeling  
 Financial Regulation - An Introduction  
 Foreign Exchange (FX) Market - An Introduction  
 Fraud (2024)  
 Fund Administration  
 Fund Types & Structures  
 Fund Valuation  
 GDPR (2024)  
 Hedge Funds - An Introduction  
 Income Statement - An Introduction  
 Income Statement - Analysis  
 Insurance Distribution Directive (IDD)  
 Interbank Money Market  
 Interest Rate Benchmarks - An Introduction  
 Interest Rate Benchmarks - Applications  
 Life of a Trade - An Introduction  
 Life of a Trade - Clearing & Settlement  
 Life of a Trade - Execution  
 Life of a Trade - Post-Trade Position Management  
 Life of a Trade - Pre-Trade  
 Life of a Trade - Scenario  
 Market Abuse (Europe)  
 Modern Slavery (2024)  
 Money Markets - An Introduction  
 Payments - An Introduction  
 Payments Systems  
 PRIIPs  
 Prime Brokerage - An Introduction  
 Private Equity - An Introduction  
 PSD2 & Open Banking  
 Risk Management for Asset Managers - An Introduction  
 Sanctions (2024)  
 Shadow Banking  
 Shareholder Servicing  
 Solvency II  
 Statement of Cash Flows - An Introduction  
 Statement of Cash Flows - Analysis  
 Swaps - Clearing  
 Swaps - Documentation  
 Three-Statement Modeling  
 Treasury Cash Management - Scenario  
 Working Capital Management  
 Yield Curves - An Introduction  
 Yield Curves - Construction

# HKSI/SFC

AIFM Directive  
 Alternative Assets - An Introduction  
 APT & Factor Models  
 Asset Allocation - An Introduction  
 Asset Allocation - Scenario  
 Asset Classes - Investing  
 Asset Classes - Types  
 Asset Management - Credit Risk & Counterparty  
 Credit Risk (CCR)  
 Asset Management - Liquidity Risk  
 Asset Management - Market Risk  
 Asset Management - Operational Risk  
 Asset Management - Other Risks  
 Bank Funding & Position Management  
 Basel III - An Introduction  
 Basel III - Liquidity & Leverage  
 Biodiversity & Financial Institutions  
 Bond Futures  
 Bond Markets - An Introduction  
 Bond Markets - Issuing  
 Bond Markets - Trading  
 Bonds & Guarantees  
 Business Cycle Indicators  
 Business Cycles - An Introduction  
 Business of Asset Management  
 Business of Consumer (Retail) Banking  
 Business of Corporate Banking  
 Business of Insurance  
 Business of Investment Banking  
 Business of Pensions & Retirement  
 Business of Private Wealth Management  
 Calculus - Differentiation  
 Calculus - Integration  
 Capital Asset Pricing Model (CAPM)  
 Cards & Payments Programs  
 Climate Risk - An Introduction  
 Climate Risk - Banking & Decarbonization  
 Climate Risk - Stress Testing  
 Climate Risk Measurement - An Introduction  
 Climate Risk Measurement - Approaches  
 Collective Investment Schemes (UK)  
 Commodities - An Introduction  
 Commodities - Coal  
 Commodities - Crude Oil  
 Commodities - Derivatives Trading  
 Commodities - Electricity  
 Commodities - Emissions  
 Commodities - Livestock  
 Commodities - Natural Gas  
 Commodities - Nonferrous Metals  
 Commodities - Physical Trading  
 Commodities - Precious Metals  
 Consumer Banking - Customer Experience  
 Consumer Banking - Omnichannel Delivery  
 Consumer Banking - Risk Management  
 Consumer Banking Products - Credit  
 Consumer Banking Products - Noncredit  
 Consumer Duty  
 Convertibles - An Introduction  
 Convexity Analysis  
 Corporate Actions - An Introduction  
 Corporate Actions - Types  
 Corporate Banking - Commercial Awareness  
 Corporate Banking - Functions & Roles  
 Corporate Banking - Portfolio Management  
 Corporate Banking - Portfolio Management in  
 Practice  
 Corporate Banking & Interest Rate Risk - Scenario  
 Corporate Banking Products - Accounts Receivable  
 Finance  
 Corporate Banking Products - Advisory & Other  
 Services  
 Corporate Banking Products - An Introduction  
 Corporate Banking Products - Asset-Based Finance  
 Corporate Banking Products - Cash Management  
 Corporate Banking Products - Payments  
 Corporate Banking Products - Risk Management  
 Corporate Banking Products - Short-Term Finance  
 Corporate Banking Products - Syndicated Lending  
 Corporate Banking Products - Term Finance  
 Corporate Banking Products - Trade Finance  
 Corporate Sustainability Reporting Directive (CSRD)  
 Corporate Valuation - An Introduction  
 Corporate Valuation - DCF Analysis  
 Corporate Valuation - LBO Analysis  
 Corporate Valuation - Merger Analysis  
 Corporate Valuation - Trading Comparables  
 Corporate Valuation - Transaction Comparables  
 Correlation Analysis  
 Counterparty Credit Risk (CCR) - An Introduction  
 Counterparty Credit Risk (CCR) - Management  
 Covered Bonds  
 Credit Analysis - An Introduction  
 Credit Analysis - Balance Sheet Analysis  
 Credit Analysis - Cash Flow Analysis  
 Credit Analysis - Forecasting  
 Credit Analysis - Income Statement Analysis  
 Credit Analysis - Other Factors  
 Credit Analysis - Performance & Other Measures  
 Credit Analysis - Scenario  
 Credit Cards  
 Credit Derivatives - An Introduction  
 Credit Derivatives - CDS Documentation & Settlement  
 Credit Derivatives - CDS Pricing & Valuation  
 Credit Derivatives - Single-Name CDS  
 Credit Derivatives - Variations  
 Credit Risk - An Introduction  
 Credit Risk - Lessons From Mismanaging Risk  
 Credit Risk - Lifecycle  
 Credit Risk - Types & Asset Classes  
 Credit Risk Appetite - An Introduction  
 Credit Risk Appetite - Customer & Industry Risk  
 Credit Risk Appetite - Product & Country Risk  
 Credit Risk Customer Management - An Introduction  
 Credit Risk Customer Management - Covenants &  
 Credit Decisions  
 Credit Risk Customer Management - Structuring  
 Credit Facilities  
 Credit Risk Management - An Introduction  
 Credit Risk Management - Credit Culture  
 Credit Risk Management - Framework  
 Credit Risk Management - Risk/Reward  
 Credit Risk Management - Stakeholders  
 Credit Risk Management - Strategic & Business Unit  
 Management  
 Credit Risk Measurement - Capital Calculations  
 Credit Risk Measurement - EAD & LGD  
 Credit Risk Measurement - Models  
 Credit Risk Measurement - PD & Risk Rating  
 Credit Risk Mitigation - An Introduction  
 Credit Risk Mitigation - Collateralization  
 Credit Risk Mitigation - Management & Realization  
 Custody - An Introduction  
 Derivatives - Scenario  
 Digital Banking  
 Digital Money & Mobile Payments  
 Distributions  
 Documentary Collections  
 Duration Analysis  
 Economic Indicators - An Introduction  
 Efficient Markets  
 Employment & Unemployment - An Introduction  
 Equity Index Futures & Options  
 Equity Markets - An Introduction  
 Equity Markets - Issuing  
 Equity Swaps  
 Equity Trading - An Introduction  
 Equity Valuation - Other Methods  
 ESG - An Introduction  
 ESG - Primer  
 ESG Data & Ratings - An Introduction  
 ESG Data & Ratings - Reporting Frameworks  
 ESG Factors  
 ESG Risk Management - An Introduction  
 ESG Risk Management - Strategic Integration  
 ETFs - An Introduction  
 ETFs - Types  
 Europe Anti-Money Laundering (AML)  
 European Equity Market  
 Exotic Options - An Introduction  
 Exotic Options - Applications  
 Exotic Options - Pricing  
 Export & Import Finance  
 Export Credit Agencies (ECAs)

# HKSI/SFC *(continued)*

FATCA  
 Financial Authorities (Australia)  
 Financial Authorities (China)  
 Financial Authorities (Europe) - ECB  
 Financial Authorities (Europe) - ESFS  
 Financial Authorities (Hong Kong)  
 Financial Authorities (Japan)  
 Financial Authorities (Singapore)  
 Financial Authorities (UK) - Bank of England  
 Financial Authorities (UK) - PRA and FCA  
 Financial Authorities (US) - CFTC  
 Financial Authorities (US) - Federal Reserve  
 Financial Authorities (US) - SEC  
 Financial Markets - An Introduction  
 Financial Regulation - An Introduction  
 Fiscal Policy Analysis  
 Fixed Income Analysis - An Introduction  
 Fixed Income Analysis - Credit Risk  
 Fixed Income Derivatives  
 Foreign Corrupt Practices Act (FCPA)  
 Foreign Exchange - Factors Influencing Exchange Rates  
 Foreign Exchange (FX) Market - An Introduction  
 Forwards & Futures - An Introduction  
 Forwards & Futures - Hedging  
 Forwards & Futures - Markets  
 Forwards & Futures - Pricing  
 Forwards & Futures - Trading  
 FRNs - An Introduction  
 FRNs - Structures  
 Fund Administration  
 Fund Types & Structures  
 Fund Valuation  
 FX Forward Market - An Introduction  
 FX Forward Market - Calculating Swap/Forward Points & Cross Rates  
 FX Forward Market - FX Swap Trading  
 FX Forward Market - Short-Dated Outrights & FX Swaps  
 FX Forward Market - Uses of Outrights & FX Swaps  
 FX Spot Market - An Introduction  
 FX Spot Market - Trading  
 GDP - An Introduction  
 GDP Indicators  
 Global Financial Crisis - Causes, Impact, & Legacy  
 Green Bonds - An Introduction  
 Hedge Funds - An Introduction  
 Hedge Funds - Investing  
 Hedge Funds - Strategies  
 High Yield Debt  
 Indian Equity Market  
 Indices, Exponents, & Logs  
 Industry & Company Analysis  
 Inflation - An Introduction  
 Inflation Indicators  
 Inflation-Linked Securities  
 Interbank Money Market  
 Interest Rate Benchmarks - An Introduction  
 Interest Rate Benchmarks - Applications  
 Interest Rate Options - An Introduction  
 Interest Rate Options - Applications  
 Interest Rate Options - Market Considerations  
 Interest Rate Options - Pricing  
 Interest Rate Options - Types  
 Interest Rate Risk - Management  
 Interest Rate Risk - Measurement  
 Investment - An Introduction  
 Investment Policy Statements  
 Ireland Anti-Money Laundering (AML)  
 Islamic Banking & Finance - An Introduction  
 Islamic Banking & Finance - Financial Instruments  
 Islamic Banking & Finance - Wealth Management  
 Islamic Money & Capital Markets  
 Labor Market Indicators  
 LBO Model Building - Excel Interactive  
 Letters of Credit - An Introduction  
 Letters of Credit in Practice  
 Life of a Trade - An Introduction  
 Life of a Trade - Clearing & Settlement  
 Life of a Trade - Execution  
 Life of a Trade - Post-Trade Position Management  
 Life of a Trade - Pre-Trade  
 Life of a Trade - Scenario  
 Liquid Alternatives  
 Liquidity Risk - An Introduction  
 Liquidity Risk - Measurement  
 Market Risk - Measurement  
 Merchant Services  
 Merger Model Building - Excel Interactive  
 MiFID II/MiFIR - Key Requirements  
 Monetary Policy  
 Money Market Funds  
 Money Market Futures  
 Money Market Securities - An Introduction  
 Money Market Securities - Pricing  
 Money Markets - An Introduction  
 Open Account Trading  
 Operational Resilience  
 Operational Risk - An Introduction  
 Operational Risk - Measurement & Reporting  
 Operational Risk Management - Developments & Emerging Risks  
 Operational Risk Management - Tools & Techniques  
 Option Greeks - Measuring Delta & Gamma  
 Option Greeks - Second-Order Sensitivities  
 Option Greeks - Using Delta & Gamma  
 Option Greeks - Vega, Theta, & Rho  
 Option Trading - Combination Strategies  
 Option Trading - Managing an Options Portfolio  
 Option Trading - Spread Strategies  
 Option Valuation - American Options  
 Option Valuation - Black-Scholes-Merton  
 Option Valuation - Sensitivities & Outcomes  
 Option-Linked Securities  
 Options - An Introduction  
 Payments - An Introduction  
 Payments Cards  
 Payments Systems  
 Portfolio Management - Behavioral Theory  
 Portfolio Management - Passive vs. Active Approaches  
 Portfolio Performance - Attribution Analysis  
 Portfolio Performance - Measures  
 Private Debt  
 Private Equity - An Introduction  
 Private Equity - Investing  
 Private Wealth Management - Alternative Investments  
 Private Wealth Management - Banking & Deposit Services  
 Private Wealth Management - Becoming a Trusted Advisor  
 Private Wealth Management - Client Acquisition & Pitching  
 Private Wealth Management - Client Investment Management  
 Private Wealth Management - Client Profiling  
 Private Wealth Management - Commercial Awareness  
 Private Wealth Management - Credit & Lending Services  
 Private Wealth Management - Estate Planning  
 Private Wealth Management - Family Advisory  
 Private Wealth Management - Financial Planning  
 Private Wealth Management - Functions & Roles  
 Private Wealth Management - Investment Services  
 Private Wealth Management - Managing Compliance Risks  
 Private Wealth Management - Managing Ultra-HNWIs  
 Private Wealth Management - Negotiation Skills  
 Private Wealth Management - Relationship Management  
 Private Wealth Management - Scenario  
 Private Wealth Management - Suitability  
 Private Wealth Management - Understanding Behavioral Biases

# HKSI/SFC *(continued)*

Probability  
 Problem Credit Management - Accounting for Problem Credits  
 Problem Credit Management - An Introduction  
 Problem Credit Management - Early Stage Problem Credits  
 Problem Credit Management - Late Stage Problem Credits  
 PSD2 & Open Banking  
 Real Estate - An Introduction  
 Real Estate - Investing  
 Real Estate - Valuation  
 Regression Analysis  
 Repos  
 Risk & Return - Efficient & Optimal Portfolios  
 Risk Management - An Introduction  
 Risk Management - Reporting  
 Risk Management for Asset Managers - An Introduction  
 Securities Lending - An Introduction  
 Securities Lending - Collateral & Risk Management  
 Securitization - An Introduction  
 Securitization - CDOs  
 Securitization - Credit Risks and Ratings  
 Securitization - MBS Types & Risks  
 Securitization - Prepayment Risks  
 Securitization - Pricing & Analysis  
 Securitization - US MBS  
 Securitization - US Non-MBS  
 Seniors Managers & Individual Accountability (SM&CR)  
 Shadow Banking  
 Shareholder Servicing  
 Solvency II  
 Strategic Asset Allocation (SAA)  
 Stress Testing - An Introduction  
 Stress Testing in Practice  
 Structured Products - An Introduction  
 Structured Products - Components & Types  
 Structured Products - Examples & Cases  
 Structured Products - Risks & Sensitivities  
 Structured Products - Valuation & Analysis  
 Structured Trade & Commodity Finance  
 Sukuk Market  
 Sustainability Reporting  
 Sustainable & Responsible Investing - Strategies  
 Sustainable & Responsible Investing - An Introduction  
 Sustainable Development Goals (SDGs) - An Introduction  
 Sustainable Development Goals (SDGs) - Impact on the Financial Sector  
 Sustainable Finance - An Introduction  
 Sustainable Finance - Principles & Frameworks  
 Sustainable Finance Disclosure Regulation (SFDR)  
 Sustainable Finance in Practice  
 Swap Futures  
 Swaps - An Introduction  
 Tactical Asset Allocation (TAA)  
 Taxonomy Regulation (Europe)  
 Technical Analysis - Mean-Reverting Strategies  
 Technical Analysis - Trend-Following Strategies  
 Trade Finance - An Introduction  
 Trade Indicators  
 Transaction Banking - An Introduction  
 Transaction Banking - Cash Management Services  
 Transaction Banking - Payments Services  
 Transaction Banking - Regulatory Influences  
 UCITS  
 UK Anti-Bribery & Corruption (ABC)  
 UK Anti-Money Laundering (AML) (2023)  
 UK CASS Regime  
 UK Conduct Regime  
 UK Equity Market  
 UK Market Abuse  
 Uniform Customs & UCP 600  
 US Anti-Money Laundering (AML)  
 US Equity Market  
 VaR & Expected Shortfall - An Introduction  
 VaR & Expected Shortfall - Measurement  
 Volatility Estimation  
 Volcker Rule  
 Yield Curves - An Introduction  
 Yield Curves - Construction  
 Zero-Coupon Securities

# OTHER

The majority of tutorials in the Know-How library are accepted for CPE by the other bodies – a selection of which are below. Please refer to the CPE button on the tutorial homepage to confirm.

If your organization needs CPE from a body not listed below, contact us and we will endeavor to have them included.

- Australian Financial Markets Association (AFMA)
- American Institute of CPAs- AICPA (NASBA TITLES)
- Association of Certified Fraud Examiners (ACFE)
- Certified International Trade Professional (CITP) (FITT)
- Certified Public Accountants (Ireland)
- Chartered Accountants Australia & New Zealand (CAANZ)
- Chartered Accountants Ireland
- Chartered Accountants of Pakistan (ICAP)
- CPA Canada
- CII
- de Nederlandse Orde van Belastingadviseurs (NOB)
- Financial Planning Association of Australia (FPA)
- Hong Kong Institute of Certified Public Accountants (CPA)
- ICA
- Institute of Banking and Finance Singapore (IBF)
- Institute of Chartered Accountant Singapore
- Institute of Chartered Accountants Australia and New Zealand (ICAAZ)
- Institute Of Chartered Accountants of Barbados
- Institute of Chartered Accountants of India [ICAI]
- Institute of Internal Auditors (IIA)
- Law Society of Ireland
- New Zealand Financial Markets Association (NZFMA)
- SAICA (South African Accounting body)
- The Institute of Chartered Accountants in England and Wales
- UK Association of Chartered Certified Accountants (ACCA)
- UK Chartered Institute of Management Accountants (CIMA)
- UK CIPFA