



Transforming Skills, Empowering Performance

Q4 2024

## HIGHLIGHTS & KEY FEATURES



670+ Tutorials



Flexible licensing options  
(purchase all or selected  
tutorials)



60+ Hours of video



Localized tutorials  
available on request



New and updated  
content every  
quarter



Access to full support  
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Accredited by leading  
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education bodies



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*Know-How* redefines skills development for finance professionals, offering a platform that's regularly updated with the latest content and insights to keep you and your team ahead.

Designed for the new digital era in banking and finance, our content is not only timely but forward-looking, crafted by industry experts to ensure relevance and applicability.

By merging expertly-written content with cutting-edge design, *Know-How* empowers finance professionals and organizations everywhere to lead today and shape tomorrow.

## Channels

- 1 Fundamentals of Banking & Finance
- 2 Capital Markets
- 3 Investment Banking
- 4 Corporate Banking
- 5 Banking Risk Management
- 6 Investment Management
- 7 Private Wealth Management
- 8 Consumer Banking & Payments
- 9 Securities Services
- 10 Sustainability & ESG
- 11 AI & FinTech
- 12 Regulation & Compliance



# 1 Fundamentals of Banking & Finance

This channel is your gateway to mastering the core concepts of the financial world. You'll learn how money and markets work, and gain an understanding of the role of banks and other financial institutions in the various markets. You'll also acquire fundamental mathematical and Excel skills needed by financial professionals.

## Coverage:

- Introduction to Financial Markets
- Business of Banking & Finance
- Interest Rate Mathematics
- Building Blocks
- Excel Techniques
- Life of a Trade
- Economic Analysis
- Global Financial Crisis



79 tutorials



57 hours



218 videos



350+ quiz questions





# 2 Capital Markets

This channel will guide you through the vibrant world of capital markets and how they function. With coverage extending to both cash and derivatives products, you will gain valuable insights into money markets, fixed income, equities, credit, FX, commodities, and more.

## Coverage:

- Money Markets
- Fixed Income Analysis
- Fixed Income Strategies
- Bond Markets
- Equity Valuation & Analysis
- Equity Trading
- Quantitative Trading
- Equity Markets
- Hybrid Securities
- Foreign Exchange
- Commodities
- Introduction to Derivatives
- Forwards & Futures
- Options
- Interest Rate Options
- Exotic Options
- Swaps
- Equity Derivatives
- Contracts for Difference (CFDs)
- Credit Derivatives
- Securitization
- Structured Products
- Emerging Markets
- Securities Lending
- Loan Trading
- Technical Analysis
- Islamic Banking & Finance



204 tutorials



175 hours



550 videos



1,470+ quiz questions



# 2

## Capital Markets

### Money Markets

- Interbank Money Market
- Interest Rate Benchmarks – An Introduction
- Interest Rate Benchmarks – Product Applications
- BBSW & Other Australian Benchmarks
- Money Market Securities – An Introduction
- Money Market Securities – Pricing
- Repos
- Money Market Funds
- Bank Funding & Position Management
- Money Markets – Scenario

### Bond Markets

- US Bond Market
- Municipal Bonds (US) – An Introduction
- Municipal Bonds (US) – Investing
- UK Bond Market
- European Bond Market
- Japanese Bond Market
- Hong Kong Bond Market
- Singapore Bond Market
- Chinese Bond Market
- Australian Bond Market
- Canadian Bond Market
- Eurobonds & International Bonds

### Fixed Income Analysis

- Fixed Income Analysis – An Introduction
- Bond Prices & Yields – Excel Interactive
- Yield Curves – An Introduction
- Yield Curves – Construction
- Yield Curves – Excel Interactive
- Zero-Coupon Securities
- Duration Analysis
- Convexity Analysis
- Fixed Income Analysis – Credit Risk
- High Yield Debt
- FRNs – An Introduction
- FRNs – Structures
- Option-Linked Securities
- Inflation-Linked Securities
- Covered Bonds
- Fixed Income Derivatives

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### Fixed Income Strategies

- Fixed Income Strategies – An Introduction
- Relative Value Trading
- Fixed Income Strategies – Running a Book



# 2

## Capital Markets

### Equity Valuation & Analysis

- Equity Valuation – An Introduction
- Industry & Company Analysis
- Equity Returns Analysis
- Equity Valuation – DCF Models
- Equity Valuation – Other Methods

### Equity Trading

- Equity Trading – An Introduction
- Equity Indices
- Equity Trading – Technical vs. Fundamental Trading
- Equity Trading Strategies
- Equity Hedging
- Dark Trading

### Quantitative Trading

- Quantitative Trading – An Introduction
- Quantitative Trading – Sell-Side
- Quantitative Trading – Buy-Side
- Quantitative Trading – Algorithmic Trading
- Quantitative Trading – Arbitrage & HFT
- Quantitative Trading – Data & Machine Learning
- Quantitative Trading – Risk Management
- Quantitative Trading – Portfolio Construction
- Quantitative Trading – Case Studies

### Equity Markets

- US Equity Market
- UK Equity Market
- European Equity Market
- Japanese Equity Market
- Hong Kong Equity Market
- Singapore Equity Market
- Chinese Equity Market
- Indian Equity Market
- Canadian Equity Market

### Hybrid Securities

- Convertibles – An Introduction
- Convertibles – Valuation
- Contingent Convertibles (CoCos)

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## Capital Markets

### Foreign Exchange

- The Foreign Exchange Business
- FX Spot Market – An Introduction
- FX Spot Market – Trading
- FX Forward Market – An Introduction
- FX Forward Market – Calculating Swap/Forward Points & Cross Rates
- FX Forward Market – Uses of Outrights & FX Swaps
- FX Forward Market – Short-Dated Outrights & FX Swaps
- FX Forward Market – Cross-Currency Borrowing & Investing
- FX Forward Market – FX Swap Trading
- FX Forward Market – Non-Deliverable Forwards (NDFs)
- FX Options
- Foreign Exchange – Factors Influencing Exchange Rates
- FX Swaps & Outrights – Scenario

### Commodities

- Commodities – An Introduction
- Commodities – Physical Trading
- Commodities – Derivatives Trading
- Commodities – Risk Management & Hedging
- Commodities – Crude Oil
- Commodities – Oil Products
- Commodities – Petrochemicals
- Commodities – Natural Gas
- Commodities – LNG
- Commodities – Coal
- Commodities – Electricity
- Commodities – Renewables
- Commodities – Emissions
- Commodities – Grains
- Commodities – Oilseeds
- Commodities – Livestock
- Commodities – Softs
- Commodities – Dairy
- Commodities – Precious Metals
- Commodities – Nonferrous Metals
- Commodities – Ferrous Metals
- Commodities – Pulp & Packaging

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## Capital Markets

### Introduction to Derivatives

- Derivatives – An Introduction
- Derivatives – Markets
- Forwards & Futures – An Introduction
- Swaps – An Introduction
- Options – An Introduction
- Derivatives – Scenario

### Forwards & Futures

- Forwards & Futures – Markets
- Forwards & Futures – Pricing
- Forwards & Futures – Hedging
- Forwards & Futures – Trading
- Money Market Futures
- Bond Futures
- Swap Futures

### Options

- Option Valuation – An Introduction
- Option Valuation – Key Concepts
- Option Valuation – Sensitivities & Outcomes
- Option Valuation – Future Asset Prices & Volatility
- Option Valuation – Black-Scholes-Merton
- Option Valuation – American Options
- Option Valuation – Binomial Techniques
- Option Valuation – Monte Carlo Methods
- Option Greeks – Measuring Delta & Gamma
- Option Greeks – Using Delta & Gamma
- Option Greeks – Vega, Theta, & Rho
- Option Greeks – Second-Order Sensitivities
- Option Trading – Combination Strategies
- Option Trading – Spread Strategies
- Option Trading – Managing an Options Portfolio

### Interest Rate Options

- Interest Rate Options – An Introduction
- Interest Rate Options – Types
- Interest Rate Options – Pricing
- Interest Rate Options – Applications
- Interest Rate Options – Market Considerations



# 2

## Capital Markets

### Exotic Options

- Exotic Options – An Introduction
- Exotic Options – Pricing
- Exotic Options – Applications

### Swaps

- Swaps – Applications
- Swaps Applications for Corporates – Scenario
- Swaps Applications for Institutional Investors – Scenario
- Swaps – Market Developments
- Swaps – Clearing
- Swaps – Documentation
- Swaps – Key Valuation Concepts
- Swaps – Cash Flows & Structures
- Swaps – Indices & Curves
- Swaps – Pricing
- Swaps – Bootstrapping Zero Curves
- Swaps – Sensitivities & Risk Management
- Swaps – Basis Swaps
- Swaps – Currency Swaps
- Swaps – Overnight Index Swaps (OIS)
- Swaps – Asset Swaps
- Swaps – CSAs & Collateral
- Swaps – Exotic Structures
- Swaps – Book Management

### Equity Derivatives

- Equity Derivatives – An Introduction
- Equity Options & Warrants
- Equity Index Futures & Options
- Equity Swaps

### Contracts for Difference (CFDs)

- Contracts for Difference (CFDs)

### Credit Derivatives

- Credit Derivatives – An Introduction
- Credit Derivatives – Single-Name CDS
- Credit Derivatives – CDS Indices
- Credit Derivatives – CDS Pricing & Valuation
- Credit Derivatives – CDS Documentation & Settlement
- Credit Derivatives – Variations
- Credit Derivatives – CDS Scenario

### Securitization

- Securitization – An Introduction
- Securitization – MBS Types & Risks
- Securitization – US MBS
- Securitization – US Non-MBS
- Securitization – Prepayment Risk
- Securitization – Credit Risk & Ratings
- Securitization – Pricing & Analysis
- Securitization – CDOs

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## Capital Markets

### Structured Products

- Structured Products – An Introduction
- Structured Products – Components & Types
- Structured Products – Valuation & Analysis
- Structured Products – Risks & Sensitivities
- Structured Products – Examples & Cases

### Emerging Markets

- Emerging Markets – An Introduction
- Emerging Markets – Advanced & Secondary Markets
- Emerging Markets – Frontier Markets

### Securities Lending

- Securities Lending – An Introduction
- Securities Lending – Collateral & Risk Management

### Loan Trading

- Loan Trading

### Technical Analysis

- Technical Analysis – An Introduction
- Technical Analysis – Fibonacci Analysis & Elliot Wave Theory
- Technical Analysis – Trend-Following Strategies
- Technical Analysis – Mean-Reverting Strategies
- Technical Analysis – Other Strategies

### Islamic Banking & Finance

- Islamic Banking & Finance – An Introduction
- Islamic Banking & Finance – Financial Instruments
- Islamic Money & Capital Markets
- Sukuk Market
- Islamic Banking & Finance – Wealth Management

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# 3 Investment Banking

This channel is designed to catapult your understanding of one of the most prestigious sectors in banking. Learn about the complex art of dealmaking, with coverage of everything from the key skills required by today's investment bankers to complex corporate valuation and modeling techniques.

## Coverage:

- Skills for Investment Bankers
- Financial Statement Analysis
- Corporate Finance
- Corporate Valuation
- Financial Management



41 tutorials



34 hours



124 videos



250+ quiz questions



# 3

## Investment Banking

### Skills for Investment Bankers

- Investment Banking – Backpack to Briefcase
- Investment Banking – The Deal Team
- Investment Banking – Pitch Books
- Investment Banking – Time Management
- Investment Banking – Client Management
- Investment Banking – Commercial Awareness
- Investment Banking – Negotiation Skills
- Investment Banking – Personal Branding

### Corporate Finance

- Corporate Finance – An Introduction
- Corporate Finance – Capital Structure & Liquidity
- Corporate Finance – Cost of Capital
- Corporate Finance – Raising Capital
- Corporate Finance – Project Appraisal & Capital Budgeting
- Corporate Finance – Measuring Business Performance
- Corporate Finance – Payout Policy
- Mergers & Acquisitions (M&A) – An Introduction
- Mergers & Acquisitions (M&A) – Analysis
- Corporate Finance – Scenario

### Financial Statement Analysis

- Accounting – An Introduction
- Accounting Mechanics – Scenario + + + + + + + + + +
- Balance Sheet – An Introduction + + + + + + + + + +
- Balance Sheet – Analysis + + + + + + + + + +
- Income Statement – An Introduction + + + + + + + + + +
- Income Statement – Analysis + + + + + + + + + +
- Statement of Cash Flows – An Introduction + + + + + + + + + +
- Statement of Cash Flows – Analysis + + + + + + + + + +
- Three-Statement Modeling + + + + + + + + + +

### Corporate Valuation

- Corporate Valuation – An Introduction + + + + + + + + + +
- Corporate Valuation – Trading Comparables + + + + + + + + + +
- Corporate Valuation – Transaction Comparables + + + + + + + + + +
- Corporate Valuation – DCF Analysis + + + + + + + + + +
- Corporate Valuation – LBO Analysis
- LBO Model building – Excel Interactive
- Corporate Valuation – Merger Analysis
- Merger Model Building – Excel Interactive

### Financial Management

- Budgeting
- Working Capital Management
- Cash Management
- Credit Management
- Financial Planning & Modeling
- Financial Management – Scenario

# 4 Corporate Banking

This channel is your streamlined path to navigating the world of corporate banking. You'll explore everything from the relationship management skills expected of modern-day bankers to advanced financing solutions and risk management strategies.

## Coverage:

- Skills for Corporate Bankers
- Corporate Banking Products (Credit)
- Corporate Banking Products (Noncredit)
- Trade Finance
- Transaction Banking



37 tutorials



38 hours



80 videos



260+ quiz questions





# 5 Banking Risk Management

This channel will equip you with the critical skills needed to identify, assess, and manage risks in the banking sector. With coverage of all risk types, from traditional credit risks to contemporary ESG risks, the channel is ideal for current and aspiring banking professionals who wish to elevate their expertise in risk management and enhance their knowledge of the risk management tools and techniques that protect banking institutions today.

## Coverage:

- Banking Risk Management
- Introduction to Credit Risk
- Credit Risk Management
- Credit Risk Appetite
- Credit Risk Measurement
- Credit Risk Customer Management
- Credit Analysis
- Credit Risk Mitigation
- Problem Credit Management
- Counterparty Credit Risk (CCR) Management
- Market Risk Management
- Interest Rate Risk Management
- Liquidity Risk Management
- Bank ALM & Treasury Management
- Operational Risk Management
- Model Risk Management
- ESG Risk Management



77 tutorials



77 hours



180 videos



490+ quiz questions

# 5

## Banking Risk Management

### Banking Risk Management

- Risk – Primer
- Risk Management – An Introduction
- Risk Management – Risk Types & Measurement
- Risk Modeling
- Risk Management – Business Strategy & Risk Decision-Making
- Risk Management – Tools & Techniques
- Stress Testing – An Introduction
- Stress Testing in Practice
- Risk Management – Reporting

### Introduction to Credit Risk

- Credit Risk – An Introduction
- Credit Risk – Types & Asset Classes
- Credit Risk – Lifecycle
- Credit Risk – Lessons from Mismanaging Risk

### Credit Risk Management

- Credit Risk Management – An Introduction
- Credit Risk Management – Framework
- Credit Risk Management – Stakeholders
- Credit Risk Management – Strategic & Business Unit Management
- Credit Risk Management – Credit Culture
- Credit Risk Management – Risk/Reward
- Credit Risk Management – Data & Reporting

### Credit Risk Appetite

- Credit Risk Appetite – An Introduction
- Credit Risk Appetite – Customer & Industry Risk
- Credit Risk Appetite – Product & Country Risk

### Credit Risk Measurement

- Credit Risk Measurement – An Introduction
- Credit Risk Measurement – PD & Risk Rating
- Credit Risk Measurement – EAD & LGD
- Credit Risk Measurement – Capital Calculations
- Credit Risk Measurement – Models

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# 5

## Banking Risk Management

### Credit Risk Customer Management

- Credit Risk Customer Management – An Introduction
- Credit Risk Customer Management – Credit Facilities
- Credit Risk Customer Management – Structuring Credit Facilities
- Credit Risk Customer Management – Covenants & Credit Decisions

### Credit Analysis

- Credit Analysis – An Introduction
- Credit Analysis – Balance Sheet Analysis
- Credit Analysis – Income Statement Analysis
- Credit Analysis – Cash Flow Analysis
- Credit Analysis – Performance & Other Measures
- Credit Analysis – Forecasting
- Credit Analysis – Other Factors
- Credit Analysis – Scenario

### Credit Risk Mitigation

- Credit Risk Mitigation – An Introduction
- Credit Risk Mitigation – Collateralization
- Credit Risk Mitigation – Other Types of Mitigant
- Credit Risk Mitigation – Management & Realization

### Problem Credit Management

- Problem Credit Management – An Introduction
- Problem Credit Management – Early Stage Problem Credits
- Problem Credit Management – Late Stage Problem Credits
- Problem Credit Management – Accounting for Problem Credits

### Counterparty Credit Risk (CCR) Management

- CCR, CVA, & xVAs – An Introduction
- CCR, CVA, & xVAs – Measurement
- CCR, CVA, & xVAs – Management

### Market Risk Management

- Market Risk – An Introduction
- Market Risk – Measurement
- Market Risk – Management
- VaR & Expected Shortfall – An Introduction
- VaR & Expected Shortfall – Measurement

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# 5

## Banking Risk Management

### Interest Rate Risk Management

- Interest Rate Risk – An Introduction
- Interest Rate Risk – Measurement
- Interest Rate Risk – Management

### Liquidity Risk Management

- Liquidity Risk – An Introduction
- Liquidity Risk – Measurement
- Liquidity Risk – Management

### Bank ALM & Treasury Management

- Bank Balance Sheets
- ALM & Treasury Management – An Introduction
- ALM – Strategic ALM
- ALM – Tools & Techniques
- ALM & Treasury Operations
- Treasury Cash Management – Scenario

### Operational Risk Management

- Operational Risk – An Introduction
- Operational Risk – Measurement & Reporting
- Operational Risk Management – Tools & Techniques
- Operational Risk Management – Developments & Emerging Risks
- Operational Resilience

### Model Risk Management

- Model Risk – An Introduction
- Model Risk – Management

### ESG Risk Management

- ESG Risk Management – An Introduction
- ESG Risk Management – Strategic Integration

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# 6 Investment Management

This channel will provide you with a solid foundation in the principles of investment management. With a blend of theoretical knowledge and practical applications, you will explore key concepts such as portfolio theory, asset allocation, and risk management, with deep dives into investment vehicles such as ETFs, mutual funds, and private funds.

## Coverage:

- Portfolio Theory & Practice
- Asset Allocation & Portfolio Construction
- Asset Classes
- ETFs
- Investment Companies (US)
- Collective Investment Schemes (UK)
- Alternative Assets
- Hedge Funds
- Private Capital
- Real Estate
- Risk Management for Asset Managers



47 tutorials



42 hours



126 videos



310+ quiz questions

# 6

## Investment Management

### Portfolio Theory & Practice

- Investment – An Introduction
- Risk & Return – An Introduction
- Risk & Return – Portfolios
- Risk & Return – Efficient & Optimal Portfolios
- Capital Asset Pricing Model (CAPM)
- APT & Factor Models
- Factor-Based Investing – Primer
- Efficient Markets
- Portfolio Management – Passive vs. Active Approaches
- Portfolio Management – Behavioral Theory
- Portfolio Performance – Measures
- Portfolio Performance – Attribution Analysis

### Asset Allocation & Portfolio Construction

- Asset Allocation – An Introduction
- Strategic Asset Allocation (SAA)
- Tactical Asset Allocation (TAA)
- Asset Allocation – Scenario
- Investment Policy Statements

### Asset Classes

- Asset Classes – Primer
- Asset Classes – Types
- Asset Classes – Investing

### ETFs

- ETFs – An Introduction
- ETFs – Types
- Smart Beta – Primer

### Investment Companies (US)

- Mutual Funds (US) – An Introduction
- Mutual Funds (US) – Investing
- Mutual Funds (US) – Types
- Closed-End Funds (US)
- Unit Investment Trusts (US)

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# 6

## Investment Management

### Collective Investment Schemes (UK)

- Collective Investment Schemes (UK)

### Alternative Assets

- Alternative Assets – An Introduction
- Liquid Alternatives

### Hedge Funds

- Hedge Funds – An Introduction
- Hedge Funds – Strategies
- Hedge Funds – Investing

### Private Capital

- Private Equity – An Introduction
- Private Equity – Investing
- Private Debt

### Real Estate

- Real Estate – An Introduction
- Real Estate – Investing
- Real Estate – Valuation
- REITS

### Risk Management for Asset Managers

- Risk Management for Asset Managers – An Introduction
- Asset Management – Operational Risk
- Asset Management – Market Risk
- Asset Management – Credit Risk & Counterparty Credit Risk (CCR)
- Asset Management – Liquidity Risk
- Asset Management – Other Risks

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# 7 Private Wealth Management

This channel will provide you with in-depth coverage of the wealth management and private banking industry today. Ideal for aspiring wealth managers, financial planners, or anyone looking to manage HNWI or family assets more effectively, you will learn the key skills expected of those working in the industry and acquire knowledge of the various products, services, and solutions offered by wealth managers.

## Coverage:

- Skills for Private Wealth Managers
- Private Wealth Management Products & Services



21 tutorials



18 hours



42 videos



130+ quiz questions



# 7

## Private Wealth Management

### Skills for Private Wealth Managers

- Private Wealth Management – Functions & Roles
- Private Wealth Management – Client Profiling
- Private Wealth Management – Managing Ultra-HNWIs
- Private Wealth Management – Family Advisory
- Private Wealth Management – Client Acquisition & Pitching
- Private Wealth Management – Relationship Management
- Private Wealth Management – Becoming a Trusted Advisor
- Private Wealth Management – Commercial Awareness
- Private Wealth Management – Negotiation Skills
- Private Wealth Management – Client Investment Management
- Private Wealth Management – Understanding Behavioral Biases
- Private Wealth Management – Suitability
- Private Wealth Management – Manager Selection
- Private Wealth Management – Managing Compliance Risks
- Private Wealth Management – Scenario

### Private Wealth Management Products & Services

- Private Wealth Management – Banking & Deposit Services + + + + + + + + + +
- Private Wealth Management – Credit & Lending Services + + + + + + + + + +
- Private Wealth Management – Investment Services + + + + + + + + + +
- Private Wealth Management – Alternative Investments + + + + + + + + + +
- Private Wealth Management – Financial Planning + + + + + + + + + +
- Private Wealth Management – Estate Planning + + + + + + + + + +
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# 8

## Consumer Banking & Payments

This channel covers the essentials of banking for the everyday consumer. With coverage of the full spectrum of services offered by consumer/retail banks, including a detailed focus on payments, you will learn to navigate an exciting business that is being transformed by digital innovation.

### Coverage:

- Payments
- Consumer (Retail) Banking



14 tutorials



13 hours



40 videos



100+ quiz questions

# 8

## Consumer Banking & Payments

### Payments

- Payments – An Introduction
- Payments Methods
- Payments Rails & Participants
- Payments – Connectivity & Messaging
- Payments Cards
- Credit Cards
- Cards & Payments Programs
- Merchant Services
- PSD2 & Open Banking

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### Consumer (Retail) Banking

- Consumer Banking Products – Credit
- Consumer Banking Products – Noncredit
- Consumer Banking – Omnichannel Delivery
- Consumer Banking – Customer Experience
- Consumer Banking – Risk Management

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# 9 Securities Services

This channel will help you unravel the intricacies of the various services that banks offer to clients that issue, trade, and hold securities. Covering topics such as custody, corporate actions, and prime brokerage, you'll gain a robust understanding of how to manage, protect, and optimize the client assets under your care.

## Coverage:

- Custody & Fund Services
- Prime Brokerage



9 tutorials



9 hours



27 videos



60+ quiz questions





# 10 Sustainability & ESG

This channel will enhance your knowledge of sustainability and demonstrate how it lies at the heart of financial institutions' operations today. It explores how banks, investors, and other market participants must now go beyond traditional financial and economic metrics to embrace environmental, social, and governance issues and embed ESG concerns in their day-to-day operations, risk management, and decision-making.

## Coverage:

- Introduction to Sustainability & ESG
- Climate Risk
- ESG Investing
- Sustainable Assets
- Sustainable Finance
- Corporate Governance
- CSR & RBC
- Sustainability Data & Reporting



42 tutorials



29 hours



83 videos



240+ quiz questions

# 10

## Sustainability & ESG

### Introduction to Sustainability & ESG

- Sustainability & Sustainable Development
- Net Zero
- Climate Change – Physical Risks
- Climate Change – Transition Risks
- Greenwashing
- Stranded Assets
- Circular Economy
- Blue Economy
- Deforestation
- Clean Energy
- ESG – Primer
- ESG – An Introduction
- ESG Factors
- Sustainable Development Goals (SDGs) – An Introduction
- Sustainable Development Goals (SDGs) – Impact on the Financial Sector
- Biodiversity & Financial Institutions

### Climate Risk

- Climate Risk – An Introduction
- Climate Risk Measurement – An Introduction
- Climate Risk Measurement – Approaches
- Climate Risk – Banking & Decarbonization
- Climate Risk – Stress Testing

### ESG Investing

- Sustainable & Responsible Investing – An Introduction
- Sustainable & Responsible Investing – Strategies
- Impact Investing
- ESG Investing – Scenario (Retail)
- ESG Investing – Scenario (Institutional)

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# 10

## Sustainability & ESG

### Sustainable Assets

- Green Bonds – An Introduction
- Green Bonds in Practice
- Social Bonds & Sustainable Instruments

### Sustainable Finance

- Sustainable Finance – An Introduction
- Sustainable Finance – Principles & Frameworks
- Sustainable Finance in Practice

### Corporate Governance

- Corporate Governance – An Introduction
- Corporate Governance in Practice

### CSR & RBC

- CSR & RBC – An Introduction
- CSR & RBC in Practice

### Sustainability Data & Reporting

- ESG Data & Ratings – An Introduction
- ESG Data & Ratings – Reporting Frameworks
- Sustainability Reporting
- Sustainable Finance Disclosure Regulation (SFDR)
- Taxonomy Regulation (Europe)
- Corporate Sustainability Reporting Directive (CSRD)

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# 11 AI & FinTech

This channel is your gateway to understanding how artificial intelligence (AI) and other technologies are transforming the financial industry. Ideal for tech and financial professionals alike, or simply anyone curious about the future of finance, you'll explore cutting-edge topics such as machine learning, blockchain, crypto/digital assets, and embedded finance. Also included is coverage of many specific applications of AI that have gained traction in the banking and finance sector.

## Coverage:

- IT for Non-IT Professionals
- Data, AI, & ML
- AI Applications
- AI Ethics
- Digital & Crypto Assets
- Digital Transformation in Banking



35 tutorials



23 hours



72 videos



200+ quiz questions



# 12 Regulation & Compliance

This channel is your comprehensive guide to navigating the complex world of financial regulation and compliance. With in-depth coverage of the key regulatory topics that impact banks and asset managers, both locally and globally, you'll learn how to effectively apply regulatory frameworks to day-to-day operations, manage risk, and ensure compliance for your organization.

## Coverage:

- Global Financial Regulation
- Basel Framework
- Global Compliance
- US Regulation
- European Regulation
- UK Regulation
- Irish Regulation
- Other Regulation/Compliance



68 tutorials



68 hours



157 videos



1,010+ quiz questions

# 12

## Regulation & Compliance

### Global Financial Regulation

- Regulation – An Introduction
- Banking Regulation – An Introduction
- Markets Regulation – An Introduction
- Financial Authorities (US) – Federal Reserve
- Financial Authorities (US) – SEC
- Financial Authorities (US) – CFTC
- Financial Authorities (UK) – PRA & FCA
- Financial Authorities (UK) – Bank of England
- Financial Authorities (Europe) – ECB
- Financial Authorities (Europe) – ESFS
- Financial Authorities (Japan)
- Financial Authorities (Hong Kong)
- Financial Authorities (Singapore)
- Financial Authorities (China)
- Financial Authorities (Australia)

### Basel Framework

- Basel III – An Introduction
- Basel III – Pillar 1 & Capital Adequacy
- Basel III – Measurement Approaches
- Basel III – Liquidity & Leverage
- Basel III – Pillar 2 & ICAAP
- Basel III – Pillar 3 & Risk Reporting

### Global Compliance (2024)

- Anti-Money Laundering (AML) (2024)
- Sanctions (2024)
- Fraud (2024)
- Cybercrime (2024)
- Conflicts of Interest (2024)
- Ethics, Culture, & Conduct (2024)
- Anti-Bribery & Corruption (2024)
- Data Protection (2024)
- GDPR (2024)
- Modern Slavery (2024)

### US Regulation

- Dodd-Frank Act
- Volcker Rule
- FATCA
- US Anti-Money Laundering (AML)
- Foreign Corrupt Practices Act (FCPA)

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# 12

## Regulation & Compliance

### European Regulation

- MiFID II/MiFIR – Primer
- MiFID II/MiFIR – Key Requirements
- EMIR
- UCITS
- AIFM Directive
- CSDR
- PRIIPs
- Insurance Distribution Directive (IDD)
- Solvency II
- Europe Anti-Money Laundering (AML)
- Market Abuse (Europe)

### UK Regulation

- UK Anti-Money Laundering (AML) (2024)
- UK Conduct Regime
- Senior Managers & Individual Accountability (SM&CR)
- UK Market Abuse
- UK Anti-Bribery & Corruption (ABC)
- UK CASS Regime
- Consumer Duty

### Irish Regulation

- Ireland Anti-Money Laundering (AML)
- Ireland Anti-Bribery & Corruption (ABC)
- Market Abuse (Ireland)
- Individual Accountability Framework (IAF)
- Conduct Standards (Ireland)

### Singapore Regulation

- Singapore Anti-Money Laundering (AML) (2024)
- Singapore Personal Data Protection Act (PDPA)
- Singapore Prevention of Corruption Act (PCA)

### Other Regulation/Compliance

- Hong Kong Anti-Money Laundering (AML) (2024)
- Japan Anti-Money Laundering (AML)
- UAE Anti-Money Laundering (AML)
- Kingdom of Bahrain Anti-Money Laundering (AML)
- Ghana Anti-Money Laundering (AML)
- Sapin II

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